

# Little Rock Trade and Investment Ltd.

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CIN: L67120WB1981PLC033628

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**The President**  
**Kolkata Stock Exchange Ltd**  
7- Lyons Range  
Kolkata – 700001

**Date: April 20, 2016,**

Ref	CSE Scrip Code = 22055 & 10022055
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**Sub:** Shareholding Pattern as per Regulation 31(1)(b) of SEBI( Listing Obligations & Disclosure Requirements) Regulations 2015 for Quarter Ended On March 31, 2016

**Dear Sir,**

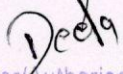
Pursuant to requirement of the Regulation 31(1)(b) of SEBI( Listing Obligations & Disclosure Requirements) Regulations 2015, read with other applicable provisions if any, Please find enclosed herewith Shareholding Pattern for the Quarter ended March 31, 2016.

Please acknowledge receipt  
For any further documents/information, please do let us know.

Thanking you  
Yours faithfully

For Little Rock Trade &  
Investment Ltd.

For Little Rock Trade And Investment Ltd.

  
Director/Authorised Signatory

Deepa Nanda  
(Director)  
(DIN:06535258)

**Encl:** Share Holding Pattern for  
Quarter ended on March 31, 2016

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Regd. Office: 11C, Ram Mohan Dutta Road, Ground Floor, Kolkata-700020

**Share Holding Pattern as per Regulation 31(1) of the SEBI Listing Obligations and Disclosure Requirements Regulations 2015**

1. Name of Listed Entity: **Little Rock Trade and Investment Ltd.**
2. Scrip Code/Name of Scrip/Class of Security: **22055/Equity**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c) **Regulation 31(1)(b)**
  - a. If under 31(1)(b) then indicate the report for Quarter ending **31<sup>st</sup> March -2016**
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment **NA**
4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	-	No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4 Whether the Listed Entity has any shares in locked-in?	-	No
5 Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

**5. The tabular format for disclosure of holding of specified securities is as follows :**

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	Number of Voting Rights held in each class of securities (IX)				Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XD) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
			No of Voting Rights		No. (a)	As a % of total Shares held (b)				No. (a)	As a % of total Shares held (b)		
			Class X	Class Y									
(A)	Promoter & Promoter Group	3	1135000	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(B)	Public	33	114000	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C)	Non Promoter-Non Public	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Total</b>	<b>36</b>	<b>1249000</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Shareholding % calculate d as per SCRR,1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XD) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)		
							No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)			No. (a)	As a % of total shares held (b)
							Class X	Class Y									
(1) Indian Individuals/Hindu undivided Family		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			
(b) Central Government/State Government(s)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			
(c) Financial Institutions/ Banks		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			
(d) Any Other (Body Corporate)		3	1135000	0	0	90.87	0	0	0	0.00	0	0.00	0	0			
	AAGC06297D		446900	0	0	35.78	0	0	0	0.00	0	0.00	0	0			

Category & Name of the Shareholders (1)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = (IV) + (V) + (VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C3) VIII	Number of Voting Rights held in each class of securities:			Total shareholding as a % assuming full conversion of convertible securities (as a diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class X	Class Y	Total	No. (a)		As a % of total Shares held (b)			
Pushpavathi Commercial Pvt Ltd	AAABCP1523TD		235500	0	0	235500	18.85	0	0	0	0.00	0	0	0	0.00	0
Tripathi Commercial Pvt Ltd	AAADCT1884K		452600	0	0	452600	36.24	0	0	0	0.00	0	0	0	0.00	0
<b>Sub-Total (A)(1)</b>		<b>3</b>	<b>1135000</b>	<b>0</b>	<b>0</b>	<b>1135000</b>	<b>90.87</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
(2) Foreign																
Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
(b) Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
(c) Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
(f) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
<b>Sub-Total (A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2)</b>		<b>3</b>	<b>1135000</b>	<b>0</b>	<b>0</b>	<b>1135000</b>	<b>90.87</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in dematerialized suspense account, voting rights which are frozen etc.

**Note:**

(1) PAN would not be displayed on website of Stock Exchanges.

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

**Table III - Statement showing shareholding pattern of the Public shareholder**

Category & Name of the Shareholders (1)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = (IV) + (V) + (VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C3) VIII	Number of Voting Rights held in each class of securities:			Total shareholding as a % assuming full conversion of convertible securities (as a diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class X	Class Y	Total		No. (a)		As a % of total Shares held (b)		
(1) Institutions																
(a) Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
(c) Alternative Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
(e) Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
(f) Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
(g) Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
(h) Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
(i) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
<b>Sub-Total (B)(1)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
(2) Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
<b>Sub-Total (B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>

(3)	Category & Name of the Shareholders	PAN (II)	No. of share holder (III)	No. of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Number of Voting Rights held in each class of securities (IX)			Total Shareholding e.g. as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
							Class X	Class Y	Total			No. (Not applicable)	As a % of total shares held (Not applicable)	
(a)	Non-institutions i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		30	25120	0	0	0	0	0	0.00	0	0	0	0
	Munna Lal			22880										
	Suresh Kt Doshi			33000										
	Bimal Kt Srugh			33000										
(b)	NBFCs registered with RBI		0	0	0	0	0	0	0	0.00	0	0	0	0
(c)	Employee Trusts		0	0	0	0	0	0	0	0.00	0	0	0	0
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0.00	0	0	0	0
(e)	Any Other (specify)		0	0	0	0	0	0	0	0.00	0	0	0	0
	<b>Sub-Total (B)(3)</b>		<b>33</b>	<b>114000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>9.13</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total Public Shareholding (B)-(B)(1)-(B)(2)-(B)(3)</b>		<b>33</b>	<b>114000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>9.13</b>	<b>0</b>	<b>0</b>	<b>0</b>

Details of the shareholders acting as persons in Consent including their Shareholding (No. and %):  
 Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Note:**  
 (1) PAN would not be displayed on website of Stock Exchange(s).  
 (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.  
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective column to the extent information available and the balance to be disclosed as held in custody.

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

(1)	Category & Name of the Shareholders	PAN (II)	No. of share holder (III)	No. of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total shareholding e.g. as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									Class X	Class Y	Total			No. (Not applicable)	As a % of total shares held (Not applicable)		
(1)	Custodian/DR Holder																
(a)	Name of DR Holder (if available)		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0
(b)	Employee Benefit Trust Under SEBI (Share based Employee Benefit) Regulations, 2014		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0
(a)	<b>Total Non-Promoter- Non Public Shareholding (C)=(X)+(X2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Note:**  
 (1) PAN would not be displayed on website of Stock Exchange(s).  
 (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.  
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective column to the extent information available.

For Little Rock Trade and Investment Ltd. Ltd.

Deepa Nanda  
 Director/Authorisatory

Date: 20/04/2016

Name & Designation